

Edgar Filing: INTERNATIONAL ASSETS HOLDING CORP - Form 4

INTERNATIONAL ASSETS HOLDING CORP

Form 4

April 11, 2001

WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or

Section 30(f) of the Investment Company Act of 1940

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

1. Name and Address of Reporting Person

HINZ JONATHAN C
(Last) (First) (Middle)

250 PARK AVENUE SOUTH/ SUITE 200

(Street)

WINTER PARK FL 32789
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

INTERNATIONAL ASSETS HOLDING CORPORATION -- IAAC

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

MARCH, 2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer (Check all applicable)

- [] Director [] 10% Owner
[X] Officer (give title below) [] Other (specify below)

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CHIEF FINANCIAL OFFICER

7. Individual or Joint/Group Filing (Check Applicable Line)

- Form filed by One Reporting Person
- Form filed by More Than One Reporting Person

Table I--Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Trans- action Date (Month/ Day/ Year) | 3. Trans- action Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 a |
|--|---|---|---|---|---------------|-------|--|
| | | Code | V | Amount | (A) or (D) | Price | |

INTERNATIONAL ASSETS
HOLDING CORPORATION
COMMON

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly

FORM 4 (continued)

Table II--Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 2. Conver- sion or Exercise Price of Deriv- | 3. Trans- action Date (Month/ | 4. Transac- tion Code (Instr. 8) |
|---|--|--|
|---|--|--|

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| 1. Title of Derivative Security (Instr. 3) | Derivative Security | Day/Year | Code | V |
|--|---------------------|----------|------|---|
|--|---------------------|----------|------|---|

International Assets Holding Corp. Options

3/9/01

A

International Assets Holding Corp. Options

6. Date Exercisable and Expiration Date (Month/Day/Year)

7. Title and Amount of Underlying Securities (Instr. 3 and 4)

8. Price of Derivative Security (Instr. 5)

9. Number of Derivative Securities Beneficially Owned at End of Month (Instr. 5)

| Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Price | Number of Derivative Securities |
|------------------|-----------------|--------|----------------------------|--------|---------------------------------|
| 03/09/02 | 03/09/06* | common | 20,000 | 3.125 | 20,000 |
| 03/10/01 | 03/10/10** | common | 1,750 | 11.625 | 21,750 |
| 12/11/99 | 12/11/06*** | common | 7,194 | 2.512 | 28,944 |
| 12/09/02 | 12/09/09**** | common | 5,450 | 7.167 | 34,394 |

Explanation of Responses: Grant of Option *33% VESTS 03/09/02; 33% VESTS 03/09/03; REMINDER VESTS 03/09/04

**20% of Option becomes exercisable on 03/10/01; 03/10/04; 03/10/05

***20% of Option becomes exercisable on 12/11/99; 12/11/00; 12/11/01; 12/11/02; 12/11/03

****20% of Option becomes exercisable on 12/09/02; 12/09/04; 12/09/05; 12/09/06

/s/ Jonathan C. Hinz

04/05/01

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Jonathan C. Hinz

Date