Jassy Andrew R Form 4 August 17, 2018

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per 0.5 response...

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Jassy Andrew R | | | 2. Issuer Name and Ticker or Trading Symbol AMAZON COM INC [AMZN] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
|--|--|----------|---|--|--|--|--|
| (Last) (First) (Middle) P.O. BOX 81226 | | (Middle) | 3. Date of Earliest Transaction | (Sheek all applicable) | | | |
| | | | (Month/Day/Year) 08/15/2018 | Director 10% Owner X Officer (give title Other (specifically) below) CEO Amazon Web Services | | | |
| (Street) | | | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | | |
| SEATTLE, WA 98108-1226 | | | Filed(Month/Day/Year) | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |

| (City) | (State) | (Zip) Ta | ble I - Nor | ı-Derivativ | d, Disposed of, o | r Beneficially | Owned | | |
|--------------------------------------|--------------------------------------|---|---------------------------------------|--|-------------------|----------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transacti Code (Instr. 8) | 4. Securit orDisposed (Instr. 3, 4 | of (D) | red (A) or | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |

| | | (Month/Day/Year) | (Instr. 8) | | (A) or | | Owned Following Reported Transaction(s) | or Ind (I) (Instr. |
|---|------------|------------------|------------|--------|-----------|------------------|--|--------------------------|
| | | | Code V | Amount | (D) | Price | (Instr. 3 and 4) | |
| Common Stock, par value \$.01 per share | 08/15/2018 | | M | 952 | A | \$ 0 | 88,801 | D |
| Common Stock, par value \$.01 per share | 08/15/2018 | | M | 3,156 | A | \$ 0 | 91,957 | D |
| Common Stock, par | 08/15/2018 | | S(1) | 126 | D | \$ 1,873.4624 | 91,831 | D |
| | | | | | | | | |

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| value \$.01 per share | | | | | (2) | | |
|---|------------|--------------|-----|---|-----------------------------|--------|---|
| Common Stock, par value \$.01 per share | 08/15/2018 | S <u>(1)</u> | 100 | D | \$ 1,886.96 | 91,731 | D |
| Common Stock, par value \$.01 per share | 08/15/2018 | S(1) | 100 | D | \$ 1,889.95 | 91,631 | D |
| Common Stock, par value \$.01 per share | 08/15/2018 | S(1) | 100 | D | \$ 1,891.73 | 91,531 | D |
| Common Stock, par value \$.01 per share | 08/15/2018 | S <u>(1)</u> | 100 | D | \$ 1,893.62 | 91,431 | D |
| Common Stock, par value \$.01 per share | 08/15/2018 | S <u>(1)</u> | 100 | D | \$ 1,898.02 | 91,331 | D |
| Common Stock, par value \$.01 per share | 08/15/2018 | S <u>(1)</u> | 200 | D | \$ 1,902.945 (<u>3)</u> | 91,131 | D |
| Common Stock, par value \$.01 per share | 08/15/2018 | S <u>(1)</u> | 100 | D | \$ 1,903.74 | 91,031 | D |
| Common Stock, par value \$.01 per share | 08/15/2018 | S <u>(1)</u> | 300 | D | \$ 1,905.78 (<u>4)</u> | 90,731 | D |
| Common Stock, par value | 08/15/2018 | S <u>(1)</u> | 200 | D | \$ 1,906.865 (<u>5)</u> | 90,531 | D |

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| \$.01 per share | | | | | | | | |
|---|------------|--------------|-----|---|--------------------|---------|---|---|
| Common Stock, par value \$.01 per share | 08/15/2018 | S <u>(1)</u> | 200 | D | \$ 1,909.37 (6) | 90,331 | D | |
| Common Stock, par value \$.01 per share | 08/15/2018 | S <u>(1)</u> | 100 | D | \$ 1,913.67 | 90,231 | D | |
| Common Stock, par value \$.01 per share | | | | | | 488.889 | I | Held by the reporting person's Amazon.com 401(k) plan account |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | Secu Acqu (A) o Disp (D) | urities juired or posed of tr. 3, 4, | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount Underlying Securitie (Instr. 3 and 4) | |
|---|---|---|---|--|--------------------------------------|--|--|--------------------|---|---------------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amoun or Number of Shares |
| Restricted Stock Unit Award | \$ 0 <u>(7)</u> | 08/15/2018 | | M | | 952 | 05/15/2017(8) | 02/15/2022 | Common Stock, par value \$.01 per share | 952 |
| Restricted Stock Unit Award | \$ 0 (7) | 08/15/2018 | | M | | 3,156 | 05/15/2018(9) | 02/15/2020 | Common Stock, par value | 3,156 |

\$.01 per share

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Jassy Andrew R P.O. BOX 81226 SEATTLE, WA 98108-1226

CEO Amazon Web Services

Signatures

/s/ by Mark F. Hoffman as attorney-in-fact for Andrew R. Jassy, CEO Amazon Web Services

08/17/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Represents the weighted average sale price. The highest price at which shares were sold was \$1,874.01 and the lowest price at which shares were sold was \$1,873.32.
- (3) Represents the weighted average sale price. The highest price at which shares were sold was \$1,903.30 and the lowest price at which shares were sold was \$1,902.59.
- (4) Represents the weighted average sale price. The highest price at which shares were sold was \$1,906.05 and the lowest price at which shares were sold was \$1,905.26.
- (5) Represents the weighted average sale price. The highest price at which shares were sold was \$1,907.05 and the lowest price at which shares were sold was \$1,906.68.
- (6) Represents the weighted average sale price. The highest price at which shares were sold was \$1,909.55 and the lowest price at which shares were sold was \$1,909.19.
- (7) Converts into Common Stock on a one-for-one basis.
 - This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 494 shares on each of May 15, 2017, August 15, 2017, and November 15, 2017; 495 shares on February 15, 2018; 952 shares on each of May 15, 2018, August 15, 2018,
- (8) November 15, 2018, and February 15, 2019; 1,138 shares on May 15, 2019; 1,139 shares on each of August 15, 2019, November 15, 2019, and February 15, 2020; 2,791 shares on each of May 15, 2020, August 15, 2020, and November 15, 2020; 2,792 shares on February 15, 2021; 2,153 shares on each of May 15, 2021, August 15, 2021, and November 15, 2021; and 2,154 shares on February 15, 2022.
- This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 3,156 shares on each of May 15, 2018 and August 15, 2018; 3,157 shares on each of November 15, 2018 and February 15, 2019; 2,433 shares on May 15, 2019; and 2,434 shares on each of August 15, 2019, November 15, 2019, and February 15, 2020.

Remarks:

The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer, Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 4