

UNITED TECHNOLOGIES CORP /DE/  
 Form 3  
 November 09, 2007

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Â Bowler J Thomas JR  
 (Last) (First) (Middle)

2. Date of Event Requiring Statement  
 (Month/Day/Year)  
 11/01/2007

3. Issuer Name and Ticker or Trading Symbol  
 UNITED TECHNOLOGIES CORP /DE/ [UTX]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

UNITED TECHNOLOGIES CORPORATION,Â ONE FINANCIAL PLAZA  
 (Street)

(Check all applicable)

Director  10% Owner  
 Officer  Other  
 (give title below) (specify below)  
 SVP, Human Resources and Org.

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

HARTFORD,Â CTÂ 06101  
 (City) (State) (Zip)

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4)  | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|----------------------------------|---|--|---|
| Common Stock                     | 11,142.4924   | I  | By Savings Plan Trustee                               |
| Common Stock (Career Restricted) | 2,640   | D  | Â   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security | 4. Conversion or Exercise | 5. Ownership Form of | 6. Nature of Indirect Beneficial Ownership |
|--|--|--|---------------------------|----------------------|--|
|--|--|--|---------------------------|----------------------|--|

|   | Date Exercisable | Expiration Date | (Instr. 4)<br>Title | Amount or Number of Shares | Price of Derivative Security | Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | (Instr. 5) |
|---|------------------|-----------------|---------------------|----------------------------|------------------------------|--|------------|
| Non-Qualified Stock Option (right to buy) | 01/03/2003       | 01/02/2010      | Common Stock        | 26,600                     | \$ 31.25                     | D  | Â          |
| Non-Qualified Stock Option (right to buy) | 01/02/2004       | 01/01/2011      | Common Stock        | 33,200                     | \$ 37.625                    | D  | Â          |
| Non-Qualified Stock Option (right to buy) | 04/26/2004       | 04/25/2011      | Common Stock        | 100,000                    | \$ 38.5                      | D  | Â          |
| Non-Qualified Stock Option (right to buy) | 01/02/2005       | 01/01/2012      | Common Stock        | 45,000                     | \$ 32.17                     | D  | Â          |
| Non-Qualified Stock Option (right to buy) | 01/02/2006       | 01/01/2013      | Common Stock        | 50,800                     | \$ 31.705                    | D  | Â          |
| Non-Qualified Stock Option (right to buy) | 01/09/2007       | 01/08/2014      | Common Stock        | 40,000                     | \$ 46.76                     | D  | Â          |
| Non-Qualified Stock Option (right to buy) | 01/03/2008       | 01/02/2015      | Common Stock        | 38,000                     | \$ 51.5                      | D  | Â          |
| Stock Appreciation Right                  | 01/03/2009       | 01/02/2016      | Common Stock        | 25,500                     | \$ 56.53                     | D <sup>(1)</sup>   | Â          |
| Stock Appreciation Right                  | 01/03/2010       | 01/02/2017      | Common Stock        | 28,000                     | \$ 62.81                     | D <sup>(2)</sup>   | Â          |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                                 |       |
|--|---------------|-----------|---------------------------------|-------|
|  | Director      | 10% Owner | Officer                         | Other |
| Bowler J Thomas JR<br>UNITED TECHNOLOGIES CORPORATION<br>ONE FINANCIAL PLAZA<br>HARTFORD, CT 06101 | Â             | Â         | Â SVP, Human Resources and Org. | Â     |

## Signatures

By: /s/ Charles F. Hildebrand as Attorney-in-Fact 11/09/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person was also awarded 7,800 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three year performance period.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.