#### Edgar Filing: ENANTA PHARMACEUTICALS INC - Form 4

#### ENANTA PHARMACEUTICALS INC

Form 4

February 20, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

0.5

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average

burden hours per response...

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* TVM V LIFE SCIENCE

**VENTURES GMBH & CO KG** 

2. Issuer Name and Ticker or Trading

Symbol

**INC** [ENTA]

(Month/Day/Year)

02/18/2014

ENANTA PHARMACEUTICALS

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

Director Officer (give title below)

X\_\_ 10% Owner \_ Other (specify

C/O TVM CAPITAL **CROUP, OTTOSTRASSE 4** 

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

MUNICH, 2M 80333

(City)	(State)	(Zip) Tab	le I - Non-	Derivativ	e Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securionor Dispo (Instr. 3,	sed of 4 and (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/18/2014		S	1,546	D	\$ 40.1853 (1)	389,835	D (2)	
Common Stock	02/18/2014		S	2,066	D	\$ 40.1853 (1)	520,978	D (3)	
Common Stock	02/18/2014		S	6,388	D	\$ 40.1853	1,611,306	D (4)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	Amor Unde Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				4, and 5)						
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address		Relationships					
Reporting Owner Futuress	Director	10% Owner	Officer	Other			
TVM V LIFE SCIENCE VENTURES GMBH & CO KG C/O TVM CAPITAL CROUP OTTOSTRASSE 4 MUNICH, 2M 80333		X					
TVM V Life Science Ventures Management GmbH & Co. KG C/O TVM CAPITAL GROUP OTTOSTRASSE 4 MUNICH, 2M 80333		X					
TVM IV GMBH & CO KG C/O TVM CAPITAL GROUP OTTOSTRASSE 4 MUNICH, 2M 80333		X					
TVM IV Management GmbH & Co. KG C/O TVM CAPITAL GROUP OTTOSTRASSE 4 MUNICH, 2M 80333		X					
TVM MEDICAL VENTURES GMBH & CO KG C/O TVM CAPITAL GROUP OTTOSTRASSE 4 MUNICH, 2M 80333		X					

Reporting Owners 2

TVM Capital GmbH C/O TVM CAPITAL GROUP OTTOSTRASSE 4 MUNICH, 2M 80333		X	
Birner Hubert C/O TVM CAPITAL GROUP OTTOSTRASSE 4 MUNICH, 2M 80333		X	
Fischer Stefan C/O TVM CAPITAL GROUP OTTOSTRASSE 4 MUNICH, 2M 80333		X	
Goll Alexandra C/O TVM CAPITAL GROUP OTTOSTRASSE 4 MUNICH, 2M 80333		X	
Signatures			
<del>_</del>	nited Partner of TVM V Life Science Ventur aging limited partner of TVM V Life Science		4
**	Signature of Reporting Person	Date	
/s/ Josef Moosholzer, Managing Lim Management GmbH & Co. KG	res 02/20/2014	4	
	Signature of Reporting Person	Date	
managing limited partner of TVM IV		( & Co. KG, 02/20/2014	4
**	Signature of Reporting Person	Date	
/s/ Josef Moosholzer, Managing Lim	ited Partner of TVM IV Mangement GmbH	% Co. KG 02/20/2014	4
**	Signature of Reporting Person	Date	
/s/ Josef Moosholzer, Managing Lim TVM Medical Ventures GmbH & Co	nited Partner of TVM Capital GmbH, genera o. KG	l partner of 02/20/201	4
** <u>-</u>	Signature of Reporting Person	Date	
/s/ Josef Moosholzer, Managing Lim	ited Partner of TVM Capital GmbH	02/20/201	4
** <u>-</u> -	Signature of Reporting Person	Date	
/s/ Rolf Starck by power of Attorney	for Hubert Birner	02/20/201	4
**	Signature of Reporting Person	Date	
/s/ Rolf Starck by power of Attorney	for Stefan Fischer	02/20/2014	4
**	Signature of Reporting Person	Date	
/s/ Rolf Starck by power of Attorney	for Alexandra Goll		

Signatures 3

02/20/2014

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$40.00 to \$40.78, inclusive. Each Reporting Person undertakes to provide to Enanta Pharmaceuticals, Inc. (the "Issuer"), any security holder of the Issuer, or the staff of the Securities and Exchange Commission ("SEC"), upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The shares are directly held by TVM Medical Ventures GmbH & Co. KG ("Medical Ventures"), the general partner of which is TVM

  Capital GmbH ("TVM Capital"), for which Alexandra Goll ("Goll"), one member of the investment committee of TVM Capital, shares voting and investment authority over the shares held by Medical Ventures with the other member of the investment committee. Each of TVM Capital and Goll disclaims beneficial ownership of these shares except to the extent of their pecuniary interest therein, if any.
- The shares are directly held by TVM IV GmbH & Co. KG ("TVM IV"), the managing limited partner of which is TVM IV Management

  GmbH & Co. KG ("TVM IV Management"), for which Goll, one member of the investment committee of TVM IV Management, shares voting and investment authority over the shares held by TVM IV with the other members of the investment committee. Each of TVM IV Management and Goll disclaims beneficial ownership of these shares except to the extent of their pecuniary interest therein, if any.
  - The shares are directly held by TVM V Life Science Ventures GmbH & Co. KG ("TVM V"), the managing limited partner of which is TVM V Life Science Ventures Management GmbH & Co. KG ("TVM V Management"), for which Hubert Birner ("Birner"), Stefan
- (4) Fischer ("Fischer") and Goll, each a member of the investment committee of TVM V Management, shares voting and investment authority over the shares held by TVM V. Each of TVM V Management, Birner, Fischer and Goll disclaims beneficial ownership of these shares except to the extent of their pecuniary interest therein, if any.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.