Edgar Filing: Thomas William R III - Form 4

Thomas Will Form 4	iam R III										
November 19	9, 2009										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									OMB AF	OMB APPROVAL	
	UNITED	STATES		RITIES A shington,			NGE C	OMMISSION	OMB Number:	3235-0287	
Check thi if no long subject to Section 1 Form 4 or Form 5	Ger STATEN 6. r	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Expires: Estimated a burden hour response	0	
obligation may conti <i>See</i> Instru 1(b).	inue. Section 17(a) of the	 Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section a) of the Investment Company Act of 1940 								
(Print or Type R	Responses)										
1. Name and Address of Reporting Person <u>*</u> Thomas William R III			2. Issuer Name and Ticker or Trading Symbol CAPITAL SOUTHWEST CORP [CSWC]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 12900 PRESTON ROAD, SUITE 700			3. Date of Earliest Transaction (Month/Day/Year) 11/17/2009					DirectorX_ 10% Owner X_ Officer (give title Other (specify below) Assistant Vice President			
				Amendment, Date Original (Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
DALLAS, T	TX 75230							Person		porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	Derivative	Securi	ties Acq	uired, Disposed of	, or Beneficial	y Owned	
1.Title of Security (Instr. 3)	any		ned n Date, if Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common				Code V	Amount	or (D)	Price \$	Transaction(s) (Instr. 3 and 4)	_	By Estate of	
Stock	11/17/2009			S	10,000	D	76.69	58,235	Ι	William R. Thomas (1)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
reporting o when reality realities	Director	10% Owner	Officer	Other				
Thomas William R III 12900 PRESTON ROAD SUITE 700 DALLAS, TX 75230		Х	Assistant Vice President					
Signatures								
/s/ William R. Thomas, III	11/19/20)09						

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

Date

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) William R. Thomas III is Executor of the Estate of William R. Thomas which sold the shares noted on this Form 4. Mr. Thomas disclaims any beneficial ownership in shares owned by the Estate except to the extent to which he is the beneficiary of the Estate.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.