Edgar Filing: NIERENBERG INVESTMENT MANAGEMENT CO - Form 4

NIERENBERG INVESTMENT MANAGEMENT CO

Form 4 July 23, 2008

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person
NIERENBERG INVESTMENT
MANAGEMENT CO

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

BROOKS AUTOMATION INC [BRKS]

(Check all applicable)

(Middle) (Last) (First)

(Street)

(State)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

Director X__ 10% Owner Officer (give title _ Other (specify below)

19605 NE 8TH STREET

07/21/2008

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line) Form filed by One Reporting Person

Filed(Month/Day/Year)

X Form filed by More than One Reporting

Person

CAMAS, WA 98607

(City)

(City)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	07/21/2008						195,823 (1)	I	By The D3 Family Canadian Fund, LP
Common Stock	07/22/2008		P	4,700	A	\$ 8.67	200,523 (1)	I	By The D3 Family Canadian Fund, LP
Common Stock							962,351 (1)	I	By The D3 Family Fund, LP

Edgar Filing: NIERENBERG INVESTMENT MANAGEMENT CO - Form 4

Common Stock						3,880,407 (1)	I	By The D3 Family Bulldog Fund, LP
Common Stock						1,080,720 (1)	I	By The DIII Offshore Fund, LP
Common Stock	07/23/2008	P	4,600	A	\$ 8.79	205,123 (1)	I	By The D3 Family Canadian Fund, LP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control

(9-02)

number.

Deletionshins

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transact Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	:	ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Keiationsnips					
	Director	10% Owner	Officer	Other		
NIERENBERG INVESTMENT MANAGEMENT CO 19605 NE 8TH STREET CAMAS, WA 98607		X				
D3 Family Canadian Fund, L.P. 19605 NE 8TH STREET CAMAS, WA 98607		X				

Reporting Owners 2

Edgar Filing: NIERENBERG INVESTMENT MANAGEMENT CO - Form 4

NIERENBERG DAVID 19605 NE 8TH STREET CAMAS, WA 98607

X

Date

Signatures

David Nierenberg, President, Nierenberg Investment Management Company, Inc. (NIMCO)	07/23/2008
**Signature of Reporting Person	Date
David Nierenberg, President, NIMCO, General Partner of the D3 Family Canadian Fund, LP	07/23/2008
**Signature of Reporting Person	Date
David Nierenberg	07/23/2008

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

**Signature of Reporting Person

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each of the reporting persons disclaims beneficial ownership of these securities except to the extent of the reporting person's pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3