#### Edgar Filing: NIERENBERG INVESTMENT MANAGEMENT CO - Form 4

#### NIERENBERG INVESTMENT MANAGEMENT CO

Form 4 July 23, 2008

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person
NIERENBERG INVESTMENT
MANAGEMENT CO

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**BROOKS AUTOMATION INC** [BRKS]

(Check all applicable)

(Middle) (Last) (First)

(Street)

(State)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

Director X\_\_ 10% Owner Officer (give title \_ Other (specify below)

19605 NE 8TH STREET

07/21/2008

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line) Form filed by One Reporting Person

Filed(Month/Day/Year)

\_X\_ Form filed by More than One Reporting

Person

**CAMAS, WA 98607** 

(City)

(City)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	_		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	07/21/2008						195,823 (1)	I	By The D3 Family Canadian Fund, LP
Common Stock	07/22/2008		P	4,700	A	\$ 8.67	200,523 (1)	I	By The D3 Family Canadian Fund, LP
Common Stock							962,351 (1)	I	By The D3 Family Fund, LP

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Common Stock						3,880,407 (1)	I	By The D3 Family Bulldog Fund, LP
Common Stock						1,080,720 (1)	I	By The DIII Offshore Fund, LP
Common Stock	07/23/2008	P	4,600	A	\$ 8.79	205,123 (1)	I	By The D3 Family Canadian Fund, LP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title o Derivativ		3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transacti	5. orNumber	6. Date Exercises Expiration D		7. Titl		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D)	(Month/Day/ e		Under Secur	rlying	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(Instr. 3, 4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address		Relationships					
Reporting Owner Function	Director	10% Owner	Officer	Other			
NIERENBERG INVESTMENT MANAGEMENT CO 19605 NE 8TH STREET CAMAS, WA 98607		X					
D3 Family Canadian Fund, L.P. 19605 NE 8TH STREET CAMAS, WA 98607		X					

Reporting Owners 2

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NIERENBERG DAVID 19605 NE 8TH STREET CAMAS, WA 98607

X

Date

## **Signatures**

David Nierenberg, President, Nierenberg Investment Management Company, Inc. (NIMCO)	07/23/2008			
**Signature of Reporting Person	Date			
David Nierenberg, President, NIMCO, General Partner of the D3 Family Canadian Fund, LP				
**Signature of Reporting Person	Date			
David Nierenberg	07/23/2008			

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\*Signature of Reporting Person

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each of the reporting persons disclaims beneficial ownership of these securities except to the extent of the reporting person's pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3