Paradowski Mark R Form 4 May 24, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Paradowski Mark R

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

COLUMBUS MCKINNON CORP

(Check all applicable)

[CMCO]

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify below)

205 CROSSPOINT PARKWAY

05/22/2018

VP - Information Services

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

(A)

Applicable Line) \_X\_ Form filed by One Reporting Person

Filed(Month/Day/Year)

Form filed by More than One Reporting

Person

GETZVILLE, NY 14068

(City) (State) (Zip) 2. Transaction Date 2A. Deemed

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

(Month/Day/Year)

Execution Date, if (Month/Day/Year) 3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Reported

Transaction(s) (Instr. 3 and 4)

Common Stock

05/22/2018

Code V Amount (D) Price 1,179 A \$0

(1)

11,284.0889

D (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

#### Edgar Filing: Paradowski Mark R - Form 4

3. Transaction Date 3A. Deemed

Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Expiration Date (Month/Day/Year)		Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Share
Non-Qualified Stock Options	\$ 38.7	05/22/2018		A	3,167 (3)	05/22/2019	05/21/2018	Common Stock	3,1

6. Date Exercisable and

7. Title and Amour

5. Number

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Paradowski Mark R 205 CROSSPOINT PARKWAY GETZVILLE, NY 14068

**VP** - Information Services

## **Signatures**

(Right to Buy)

Mark R.

1. Title of

Paradowski 05/24/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2016 Long Term Incentive Plan (1) dated as of July 18, 2016, subject to forfeiture in whole or part, units become fully vested and non-forfeitable 25% per year for four years beginning 5/22/19, if reporting person remains an employee of issuer.
- Includes 4,561.0889 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 365.7736 shares become fully vested on 5/18/2019; 1,830.6045 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/23/2018; and the remaining 1,179 shares become fully vested and non-forfeitable 25% per year for three years beginning 5/22/2019, if reporting person remains an employee of issuer.
- Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2016 Long Term Incentive (3) Plan dated as of July 18, 2016, subject to forfeiture in whole or part, options become exercisable 25% per year for four years beginning 5/22/19, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2