Stewart John H. Form 4 March 07, 2018

# FORM 4

## **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

January 31, Expires: 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

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Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1 Name and Address of Departing D

03/06/2018

Stock

1. Name and Address of Reporting Person <u>*</u> Stewart John H.			2. Issuer Name and Ticker or Trading Symbol COLUMBUS MCKINNON CORP [CMCO]					5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)					
				(Month/D	3. Date of Earliest Transaction (Month/Day/Year) 03/06/2018					Director 10% Owner Other (specify below)  VP-Engineered Products			
Filed(Mo					Amendment, Date Original (Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
	(City)	E, NY 14068 (State)	(Zip)	Table	a I - Non-D	erivative	Secur	rities A <i>c</i> a	Person  uired, Disposed of				
	1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ear) Execution		3. Transactic Code (Instr. 8)	4. Securi	ties A ispose 4 and (A) or	cquired d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	•		
	Common Stock	03/06/2018			M	414	A	\$ 28.45	5,969.336	D			
	Common	02/06/2019			C	262	D	\$	5 607 226 (1)	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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5,607.336 (1)

#### Edgar Filing: Stewart John H. - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4.	5. Number	6. Date Exercisable and		7. Title and Amount	
Derivative Security	Conversion or Exercise			Transaction of Code Derivative		Expiration Date (Month/Day/Vear)		Underlying Securities (Instr. 3 and 4)	
(Instr. 3)	Price of Derivative Security			(Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year)		(msu. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shares
Non-Qualified Stock Options (Right to Buy	\$ 28.45	03/06/2018		M	414	05/19/2009	05/19/2018	Common Stock	414

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Stewart John H. 205 CROSSPOINT PARKWAY GETZVILLE, NY 14068

**VP-Engineered Products** 

## **Signatures**

John H. Stewart 03/07/2018

\*\*Signature of Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 1,197.3360 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 89.0994 shares become fully vested and non-forfeitable on 5/19/2018; 195.2178 shares become fully vested and non-forfeitable 50% per year for two years

(1) beginning 5/18/2018; 497.5551 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/23/2018 and the remaining 415.4637 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/22/2018, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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