

Edgar Filing: HALLADOR PETROLEUM CO - Form 5

HALLADOR PETROLEUM CO

Form 5

April 10, 2002

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| | Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

| | Form 3 Holdings Reported

| | Form 4 Transactions Reported

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Act of 1935 or Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol		6. Relationship (Check all that apply)	
Hardie	Steven		Hallador Petroleum Company		X	Direct Office (give
			("HPCO.OB")			
(Last)	(First)	(Middle)	3. I.R.S. Identification Number of Reporting Person, if an entity		4. Statement for (Month/Year)	7. Individual (Check Applicable) Form filed as Form filer Report
740 University Avenue, Suite 110					12/01	X
(Street)					5. If Amendment, Date of Original (Month/Year)	
Sacramento	CA	95825				
(City)	(State)	(Zip)				

TABLE I - NONDERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL OWNERSHIP

1. Title	2. Trans-	3. Transaction	4. Securities Acquired (A)	5. Amount of	6.
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of Security (Inst.3)	/ action / Date (Mo/Day/Yr)	/ Code (Instr. 8)	/ or Disposed of (D) (Instr. 3, 4 and 5)	/	/ Securities Beneficially Owned at End of Issuer's Fiscal Year (Inst. 3 and 4)
				/ (A) / / or / Amount / (D) / Price /	
Common Stock		J (1)	823,041	A	N/A
Common Stock		J (1)	85,938	A	N/A
Common Stock		J (1)	70,919	A	N/A
Common Stock		J (1)	26,692	A	N/A
Common Stock		J (1)	182,983	A	N/A
Common Stock		J (1)	65,094	A	N/A
Common Stock		J (1)	67,714	A	N/A
Common Stock		J (1)	247,789	A	N/A
Common Stock		J (1)	22,971	A	N/A
Common Stock		J (1)	124,174	A	N/A
Common Stock		J (1)	32,764	A	N/A
Common Stock		J (1)	91,008	A	N/A
Common Stock		J (1)	139,805	A	N/A
Common Stock		J (1)	10,917	A	N/A
Common Stock		J (1)	8,000	A	N/A
Common Stock		J (1)	78,662	A	N/A

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Common Stock	J (1)	33,654	A	N/A
Common Stock	J (1)	10,917	A	N/A
Common Stock	J (1)	137,772	A	N/A
Common Stock	J (1)	10,930	A	N/A
Common Stock	J (1)	20,355	A	N/A
Common Stock	J (1)	16,382	A	N/A
Common Stock	J (1)	16,194	A	N/A
Common Stock	J (1)	16,382	A	N/A
Common Stock	J (1)	169,206	A	N/A
Common Stock	J (1)	75,035	A	N/A
Common Stock	J (1)	43,813	A	N/A
Common Stock	J (1)	56,279	A	N/A
Common Stock	J (1)	207,609	A	N/A
Common Stock	J (1)	184,913	A	N/A
Common Stock	J (1)	412,476	A	N/A
Common Stock	J (1)	2,558	A	N/A

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 Common Stock J(1) 298,313 A N/A 3,791,259  
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly by the reporting person.  
 \*If the form is filed by more than one reporting person, see Instruction 4(b)(v).

- (1) Pursuant to the Amended and Restated Assignment and Nominee Agreement dated March 15, 2002, exhibit to the Schedule 13D filed by the reporting person.
- (2) The reporting person disclaims beneficial ownership.

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FORM 5 (continued)

TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED  
 (e.g., puts, calls, warrants, options, convertible securities)

1.	2.	3.	4.	5.	6.	7.	8.
Title of Derivative Security (Instr. 3)	Con- version / or exercise / Price of / Deriv- / active / Secu- / rity /	Trans- action / Date / (Mo. / Day / Year)	Trans- action / Code / ((Inst.8) /	Number of Derivative Securities Acquired / (A) or Disposed / of (D) / ((Inst.3,4,5) /	Date Exercisable and Expiration / (Month/Day/Year) /	Title and Amount of Underlying Securities (Instr. 3,4) /	Price of Derivative Security ((Inst.5) /

Explanation of Responses:

/S/ STEVEN HARDIE

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 Steven Hardie

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

Note: File three copies of this Form, one of which must be manually signed.  
 If space provided is insufficient, see Instruction 6 for procedure.

