Edgar Filing: THERMOGENESIS CORP - Form 4

THERMOGENESIS CORP Form 4

February 05, 2002

FORM 4

U.S. SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

|X| Check this box if no longer subject
to Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

Browne, Spencer

ThermoGenesis Corp. and KOOL

1. Name and Address of Reporting Person* 2. Issuer Name and Ticker or Trading Symbol

(Last) (First) (Middle) 3. I.R.S. 4. Statement for Identification (Month/Year) Number of Reporting Person, if an 1/2002

c/o ThermoGenesis Corp. Entity ------3146 Gold Camp Drive (Voluntary)

(Street)

5. If Amendment,
Date of Original
(Month/Year)

Rancho Cordova, California 95670
-----(City) (State) (Zip)

TABLE I - NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED

4. Securities
Acquired (A) or
3. Transaction Disposed of (D)

5. Amount of Securities Beneficial Owned at E

6.

7. I

X

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(Instr. 3)	(Mo./Day/Yr.)	Code V	Amount (A) or (D) Price	(Instr.3,4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. *If the form is filed by more than one reporting person, see Instruction $4\,(b)\,(v)$.

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FORM 4 (continued) TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED(e.g., puts, calls, warrants, options, convertible securities)

1.Title	2.Conversion or Exercise	3.Trans-	4.Trans action Code		5.Number of Deriving Securit. Aquired or Disposof (D)	vitive ies (A)	6.Date Exercis and Exp tion Da (Mo./Da Date	ira- te y/Yr.)	7.Title Amount Underly Securit (Instr.	of ing ies		.Pri of D viti
Derivitive		Date		8)	(Instr.	3,4,5)	Exer-	Expira	a-	Amount	or	-
Security	Derivitive	(Mo./Day/					cis-	tion		Number	of	(Ins
(Instr.3)	Security	Yr.)	Code	V	A	D	able	Date	Title	Shares		5)

Explanation of Responses:

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. /s/ See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/S/ S	SPEN	ICER	BROWNE	
Spend	cer	Brov	<i>i</i> ne	

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.