## Edgar Filing: ACOSTA SAM - Form 4

# **ACOSTA SAM** Form 4 September 10, 2001

#### FORM 4

### U.S. SECURITIES AND EXCHANGE COMMISSION

FORM 4 Washington, D.C. 20549

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject 1\_1 to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

> Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person\* 2. Issuer Name and Ticker or Trading Symbol

THERMOGENESIS CORP ("KOOL")

ACOSTA, SAM Vice P (Last) (First) (Middle) 3. I.R.S. 4. Statement for Identification (Month/Year) Number of Reporting Person, if an 08/2001 C/O THERMOGENESIS CORP Entity \_\_\_\_\_ 3146 GOLD CAMP DRIVE (Voluntary) \_\_\_\_\_ (Street) 5. If Amendment, Date of Original (Month/Year) RANCHO CORDOVA, CA 95670 \_\_\_\_\_ \_\_\_\_\_ (City) (State) (Zip)

### TABLE I - NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED

1. Title /	2. Transaction	/ 3	. Transaction	/	4. Securities Acquired (A) /	5.	Amount of
of /	Date	/	Code	/	or Disposed (D) (Instr.3,4, and 5) /	Se	curities
Security /	(Mo/Day/Yr)	/	(Instr. 8)	/	/	Ben	eficially Ow
(Inst.3) /		/ -			/	at	End of Mont

1

XX

6.

7. I

X F -- F

## Edgar Filing: ACOSTA SAM - Form 4

		gg							
/	,	/ Code / V / /	/ Amount / / /	(A) or (D) /	Price /	(Instr. 3 and 4			
Common Stock	08/16/01	M	6,500	A	\$1.1250				
Common Stock	08/16/01	S	6,500	D	\$2.3373	6 <b>,</b> 110			
Reminder: Report on a separate line for each class of securities beneficially owned directly or *If the form is filed by more than one reporting person, see Instruction 4(b)(v).  2  FORM 4 (continued)  TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED (e.g., puts, calls, warrants, options, convertible securities)									
of Derivative Security (Instr. 3)	of / e/ Exercise / / Price of / / Derivative/ / Security / / / / / / / / / / / / /	Day/ / 8) Year) / / / / / /Code/ V / / /	/ Derivative/ / Securities/ ./ Aquired(A)/ / Disposed / / of (D) / / (Instr. 3,/ / 4 and 5) / / (A) / (D) / Da / / Ex / / ci	Exercisable and Expiration Date (Month Day/ year)  te / Expirer / ation sable/ Date	/ Amount / of / Under- / lying / Securities / (Instr. 3 / and 4) / Title /Amoun / of / /share	/ 5) / / / t /			
Options to Purchase Common Stock	\$1.1250 0	8/16/01 M	6,500 1/	1/00 7/29/02	Common 6,500 Stock				

Explanation of Responses:

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.